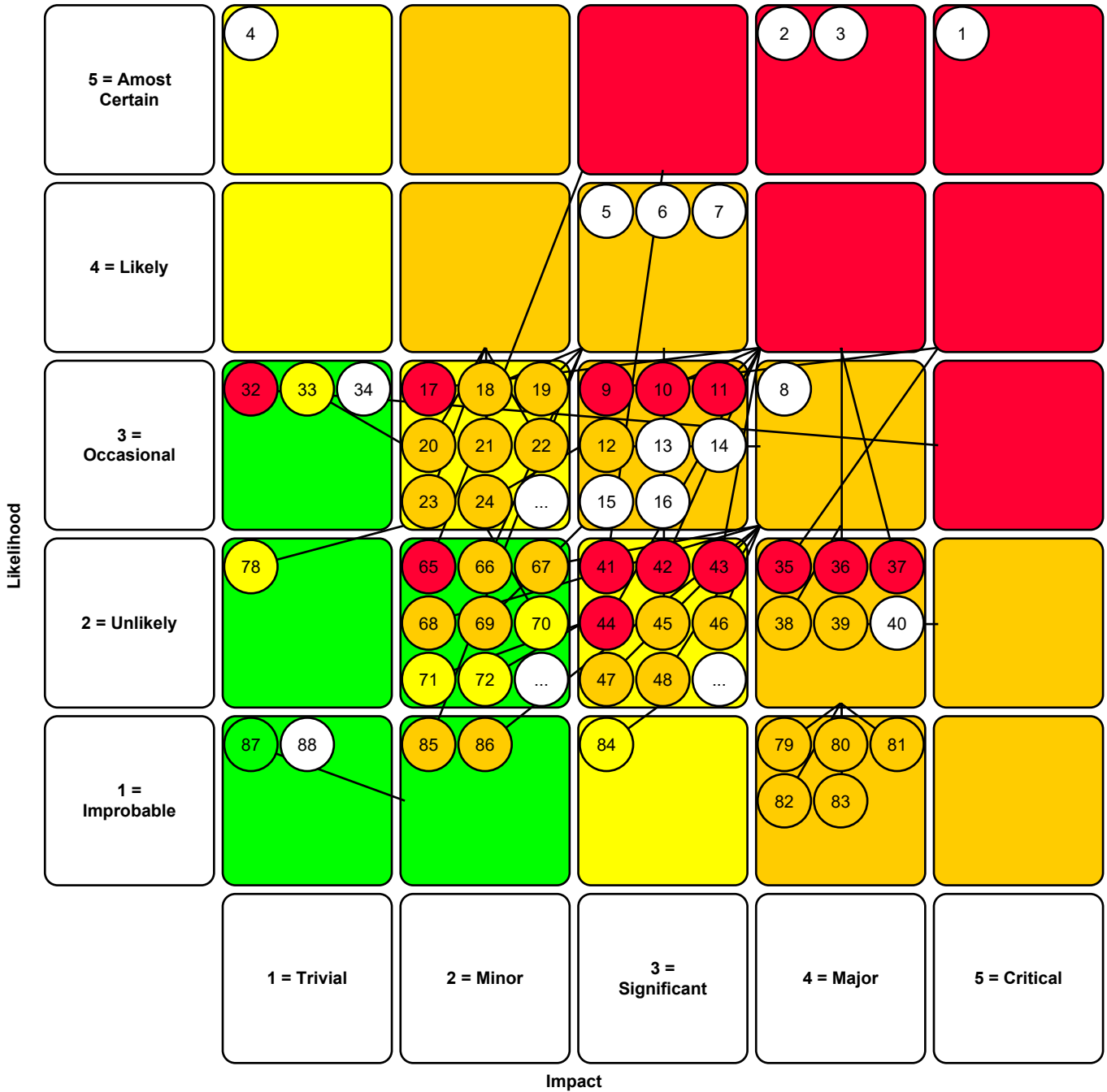


Risk Movement Report

Report Date	27 Jun 2024
Risk Status	Open
Risk Level	Operational/Service
Risk Last Updated	In the past 3 Month(s)

Risk Movement Report

Inherent to Residual movement



Grid #	Risk Ref	Risk Title	Inherent Risk Priority (IL x II)	Residual Risk Priority (RL x RI)
1	351	Material Recovery Facility Contract	L = 5 I = 5 Very High (25)	
2	254	The DFG budget is not sufficient to meet statutory requirements to award qualifying DFGs.	L = 5 I = 4 Very High (20)	L = 5 I = 4 Very High (20)
3	366	Outgrowing Eastfield House	L = 5 I = 4 Very High (20)	
4	355	Unstaffed Gym	L = 5 I = 1 Medium (5)	L = 5 I = 1 Medium (5)
5	353	Increased damage to tree stock due to climate change	L = 4 I = 3 High (12)	
6	357	Continuing achievement of promoting physical activity and sport is reduced because of the loss of funding.	L = 4 I = 3 High (12)	
7	352	Flooding risk increased due to climate change	L = 4 I = 3 High (12)	

Risk Movement Report

Grid #	Risk Ref	Risk Title	Inherent Risk Priority (IL x II)	Residual Risk Priority (RL x RI)
8	358	A member of the public is injured during one of the Sports Development sessions.	L = 3 I = 4 High (12)	
9	15	ICT Security is breached causing both the loss of data and a loss of confidence in the integrity of the data being held.	L = 4 I = 5 Very High (20)	L = 3 I = 3 High (9)
10	313	Safeguarding Risk: Insufficient Arrangements and Procedures	L = 4 I = 4 Very High (16)	L = 3 I = 3 High (9)
11	264	Cotton Farm Wind Farm - Noise Compliance	L = 4 I = 4 Very High (16)	L = 3 I = 3 High (9)
12	168	Insurers will not accept liability for a loss, because the Council is unable to provide policy documentation, resulting in unexpected costs.	L = 3 I = 4 High (12)	L = 3 I = 3 High (9)
13	369	Alarm Response Not Robust to 10 sites	L = 3 I = 3 High (9)	
14	323	Spatial Plotting Risk: Land Charges Search Inaccuracies	L = 3 I = 3 High (9)	
15	359	Projects are not delivered on time or within budget.	L = 3 I = 3 High (9)	
16	360	Safeguarding issue at a children's activity session.	L = 3 I = 3 High (9)	
17	186	Failure to maintain Council's assets , results in assets not fit for purpose and/or possible accidental injury to users/occupiers.	L = 4 I = 4 Very High (16)	L = 3 I = 2 Medium (6)
18	144	Fraud goes undetected leading to loss of funds from public purse	L = 4 I = 3 High (12)	L = 3 I = 2 Medium (6)
19	275	Increase in Planning Appeals and potential cost implications for Department if appeals are lost	L = 4 I = 3 High (12)	L = 3 I = 2 Medium (6)
20	259	Planning decisions not to allow removal/significant works to a TPO tree lead to the Council having to pay Statutory Compensation	L = 4 I = 2 High (8)	L = 3 I = 2 Medium (6)
21	183	Financial interfaces become unstable and business systems are not updated resulting in decisions based on poor or absent data.	L = 4 I = 2 High (8)	L = 3 I = 2 Medium (6)
22	263	The implementation of the Universal Credit System is not well managed leading to increased poverty on the local community	L = 4 I = 2 High (8)	L = 3 I = 2 Medium (6)
23	322	Risk of insufficient resources impacting service delivery	L = 4 I = 2 High (8)	L = 3 I = 2 Medium (6)
24	253	Costs of processing recycle increases	L = 3 I = 3 High (9)	L = 3 I = 2 Medium (6)
25	325	Ageing workforce	L = 3 I = 3 High (9)	L = 3 I = 2 Medium (6)
26	62	Capital projects are not delivered on time or within budget leading to delays in service improvements and unplanned increases in council tax or cuts in other services.	L = 3 I = 3 High (9)	L = 3 I = 2 Medium (6)
27	25	The Council becomes over reliant upon a limited number of key Information Technology & Systems specialists leading to the loss of information or IT related services when these staff are unavailable or should they leave the Council	L = 3 I = 3 High (9)	L = 3 I = 2 Medium (6)
28	209	Lack of compliance with Data Quality Strategy leading to a potential for wrong decisions being made based on inaccurate information.	L = 3 I = 3 High (9)	L = 3 I = 2 Medium (6)
29	361	Work van breaking down.	L = 3 I = 2 Medium (6)	
30	314	Contact Centre recording of payment card details	L = 3 I = 2 Medium (6)	L = 3 I = 2 Medium (6)
31	194	Risk of reduced promotion of Active Lifestyles and increased health inequalities.	L = 3 I = 2 Medium (6)	L = 3 I = 2 Medium (6)
32	327	Vehicle Maintenance Staff retirement	L = 3 I = 5 Very High (15)	L = 3 I = 1 Low (3)
33	354	Threat to Service Delivery	L = 3 I = 2 Medium (6)	L = 3 I = 1 Low (3)
34	370	Non compliance with recycling and waste legislation and contracts	L = 3 I = 1 Low (3)	L = 3 I = 1 Low (3)
35	58	Information Security Policy is not followed leading to an inability to provide an appropriate service, litigation against the Council and a failure to respond to requests for information	L = 4 I = 5 Very High (20)	L = 2 I = 4 High (8)

Risk Movement Report

Grid #	Risk Ref	Risk Title	Inherent Risk Priority (IL x II)	Residual Risk Priority (RL x RI)
36	332	Death caused by drowning while using a One Leisure Swimming Pool	L = 4 I = 4 Very High (16)	L = 2 I = 4 High (8)
37	40	Planning policy may be insufficient to meet government requirements and the Government intervenes to write the Plan	L = 4 I = 4 Very High (16)	L = 2 I = 4 High (8)
38	333	A member of public is seriously injured or killed resulting from crush injury while using free weight Olympic bars or smith machines	L = 3 I = 4 High (12)	L = 2 I = 4 High (8)
39	329	Fire caused by arson, non-compliant inspections of fixed wiring, storage of combustible material or other means. Causing death or deaths, serious injury and significant damage to property	L = 2 I = 5 High (10)	L = 2 I = 4 High (8)
40	328	Mixing of pool chemicals resulting in serious injury or death to one or more people	L = 2 I = 4 High (8)	L = 2 I = 4 High (8)
41	208	Section 106 Agreements may not be adequately monitored resulting in non-compliance with the conditions of the agreement and financial contributions not collected and used in accordance with the agreement.	L = 5 I = 3 Very High (15)	L = 2 I = 3 Medium (6)
42	217	Personal data is not processed in accordance with the rights of data subjects and the Council's Notification of processing purposes to the Information Commissioner under the Data Protection Act, resulting in complaints or litigation against the Council	L = 4 I = 4 Very High (16)	L = 2 I = 3 Medium (6)
43	236	Community Infrastructure Levy (CIL) liabilities may not be adequately monitored resulting in non-compliance with regulations and non collection of financial contributions payable to the Council	L = 4 I = 4 Very High (16)	L = 2 I = 3 Medium (6)
44	230	Forming Joint CCTV Service with CCC risk of loss of Financial contributions from partners leading to a reduction in CCTV cover	L = 4 I = 4 Very High (16)	L = 2 I = 3 Medium (6)
45	75	Fraud, financial or other irregularities (including bribery) occur leading to additional financial costs, unforeseen investigation costs & reputation damage.	L = 4 I = 3 High (12)	L = 2 I = 3 Medium (6)
46	53	The Council is unable to deliver sustained economic growth for the District leading to (over a period of time) reducing employment prospects and declining prosperity.	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
47	24	Estimates made as part of the budget setting process are incorrect	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
48	42	Decisions that support the Council's budget are not scrutinised robustly enough leading to budgets being set that don't reflect the needs of the whole District.	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
49	56	Communications and marketing of services with employees, local residents, visitors and stakeholders is poor, leading to rumours and / or incorrect information being circulated, damaging staff morale and lower use of services and local reputation.	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
50	55	Leisure Centres do not keep pace with the changing expectations of their customers resulting in a loss of customers and income.	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
51	37	Governance of the Council is weak resulting in poor and ineffective decision making.	L = 3 I = 4 High (12)	L = 2 I = 3 Medium (6)
52	59	The Council does not react to local transport issues, developments, and needs, leading to increased chance of adverse economic impact on the District.	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)
53	200	Inability to access eFin results in disruption to services leading to invoices not being paid correctly or on time and delayed income collection	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)
54	60	Corporate Systems are not managed or developed correctly leading to errors in service delivery or poor local reputation.	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)
55	86	Orphaned contaminated sites may fall to HDC to manage long-term high revenue costs	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)
56	34	Sufficiently late or inaccurate provision of financial advice to managers or Members may lead to significantly incorrect decisions being made.	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)
57	61	The Complaints procedures (incl at service level) are not robust enough so not all complaints are acted on and we fail to learn lessons from complaints leading to maladministration and loss of reputation.	L = 3 I = 3 High (9)	L = 2 I = 3 Medium (6)

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Grid #	Risk Ref	Risk Title	Inherent Risk Priority (IL x II)	Residual Risk Priority (RL x RI)
58	17	A member of the public is seriously injured or killed whilst visiting or using Leisure Centre facilities because the Council has not provided a safe environment or its staff with sufficient and adequate training.	L = 2 I = 4 High (8)	L = 2 I = 3 Medium (6)
59	126	Risk of damage to life and property from trees	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
60	269	closure of facilities due to security of tenure not being formalised	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
61	145	Loss of access to or loss of physical structure of all or part of Pathfinder House following a significant event - fire, flood, act or omission by a third party.	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
62	330	A member or members of the public or staff are made ill or killed from food poisoning/contamination or allergies resulting in anaphylactic shock	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
63	334	Physical assault to a member of staff or customer from another customer or non user of the leisure centre resulting in serious harm	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
64	276	Reduction in planning application numbers and fee income	L = 2 I = 3 Medium (6)	L = 2 I = 3 Medium (6)
65	338	3C Legal Capacity & Resource to Progress Charge Notices	L = 5 I = 3 Very High (15)	L = 2 I = 2 Low (4)
66	257	Variable hours staff review may result in a reduction in service and loss of income	L = 4 I = 3 High (12)	L = 2 I = 2 Low (4)
67	72	Insufficient consideration given to contract terms & conditions or system users' needs prior to IT solutions being sought, leading to delays in procurement process and soft/hardware being purchased that isn't appropriate for service needs	L = 3 I = 4 High (12)	L = 2 I = 2 Low (4)
68	172	Loss of IT systems result in inability to deal with customer enquiries and/or accept payments	L = 3 I = 4 High (12)	L = 2 I = 2 Low (4)
69	280	Failure to effectively manage contracts	L = 3 I = 3 High (9)	L = 2 I = 2 Low (4)
70	32	Ineffective site security arrangements at Eastfield House leads to the theft and/or loss of 'assets' and employees being concerned for their safety.	L = 3 I = 2 Medium (6)	L = 2 I = 2 Low (4)
71	57	The Council's equipment and plant in Operational Services is not properly maintained, leading to its failure resulting in injury to staff or customers or reduction in service provision.	L = 2 I = 3 Medium (6)	L = 2 I = 2 Low (4)
72	203	Document Centre services affected by a major IT failure or breakdown resulting in printing/scanning requests not completed and possible additional costs.	L = 2 I = 3 Medium (6)	L = 2 I = 2 Low (4)
73	11	The Council is unable to collect household and trade waste on a regular basis resulting in both environmental and health & safety concerns and a reduction in the quality of life of residents.	L = 2 I = 3 Medium (6)	L = 2 I = 2 Low (4)
74	373	Risk of possible negative impact on HDC reputation	L = 2 I = 2 Low (4)	
75	337	Theft of monies held on site	L = 2 I = 2 Low (4)	L = 2 I = 2 Low (4)
76	372	Loss of secured contracts delivering physical activity to improve people's health	L = 2 I = 2 Low (4)	
77	320	CCTV Security Risk: Potential Intrusion by Chinese Government	L = 2 I = 2 Low (4)	L = 2 I = 2 Low (4)
78	189	Housing allocations delayed because of partial or total loss of IT systems.	L = 3 I = 2 Medium (6)	L = 2 I = 1 Low (2)
79	266	Building Foundations for Growth - Capital Grant Administration or Compliance issues	L = 2 I = 4 High (8)	L = 1 I = 4 High (4)
80	336	Structural failure causing serious injury or death to one or more people	L = 2 I = 4 High (8)	L = 1 I = 4 High (4)
81	335	Serious injury or death caused by electrocution	L = 2 I = 4 High (8)	L = 1 I = 4 High (4)
82	29	Deficiencies in the administration of election processes leads to results being challenged and the Council being unable to operate effectively.	L = 2 I = 4 High (8)	L = 1 I = 4 High (4)
83	331	Loss or abduction of a child or vulnerable person using One Leisure resulting in harm or death	L = 2 I = 4 High (8)	L = 1 I = 4 High (4)

Risk Movement Report

Grid #	Risk Ref	Risk Title	Inherent Risk Priority (IL x II)	Residual Risk Priority (RL x RI)
84	192	Criteria for holding an "O" Licence are not met and the licence is revoked by the Traffic Commissioner resulting in an inability to deploy fleet and significant impact on services	L = 2 I = 3 Medium (6)	L = 1 I = 3 Medium (3)
85	177	Power loss to main server rooms causes significant failure or loss of IT systems including telephony, data systems, software and hardware.	L = 4 I = 3 High (12)	L = 1 I = 2 Low (2)
86	319	Diesel Unavailable	L = 3 I = 4 High (12)	L = 1 I = 2 Low (2)
87	9	Inadequate civil contingency arrangements result in failure to respond appropriately to a major incident.	L = 1 I = 2 Low (2)	L = 1 I = 1 Low (1)
88	349	Test 1	L = 1 I = 1 Low (1)	L = 1 I = 1 Low (1)